

General Data Protection Regulation (GDPR) Privacy Statement

Last updated October 2022

Protection of personal data and responsible handling of information are very important to us. Please refer to this Privacy Statement to learn more. This Privacy Statement explains how the operator of the website "www.cordis.com/emea/home" as Data Controller collects and uses information you provide when visiting our website. Our Privacy Statement applies to all who visit our website from a location within the EMEA-Countries (i.e. Europe, Middle-East, Africa). Please refer to our US Privacy Statement <https://cordis.com/na/privacy-policy>. References to articles in the General Data Protection Regulation are also considered references to the UK General Data Protection Regulation.

1. Data Controller and Data Protection Office

Responsible entity for the processing of personal data when visiting our website, i.e. the Data Controller is - unless informed otherwise at the time your personal data is collected - the Cordis entity in your county. Please refer to this list for information on the relevant Cordis entity and contact details <https://cordis.com/emea/contact>.

In case you have any data protection queries and wish to contact the Data Protection Office, please refer to DPO@cordis.com.

2. Personal Data, Processing Purpose and Justification

Personal data means information about your person to be processed in an automated manner. Personal data is in the first place information containing a direct link to your person, like name, contact information etc. We collect such information in the context of operating our website only in case you voluntarily provide it, e.g., when using a contact form or registering for an information service. There is other information, not containing a direct link to your person, like IP-addresses, browser settings etc. that may eventually be connected to other personal information and that is by law considered as personal data. We collect such information where required to provide the website functionalities.

We process personal data where strictly required for legitimate purposes. Our processing purposes are providing website functionalities to our website users, enabling contact to Cordis and providing information on Cordis.

The justification varies depending on the purpose of the processing and may be in particular our legitimate business interests in presenting our company, goods and services (Art. 6 (1) (f) GDPR, Art. 31 (1) rev. FDPA), the necessity to perform contractual duties and to exercise contractual rights (Art. 6 (1) (b) GDPR, Art. 31 (2) (a) rev. FDPA), compliance with legal obligations (Art. 6 (1) (c) GDPR, Art. 31 (1) rev. FDPA) or your consent (Art. 6 (1) (a) GDPR, Art. 31 (1) rev. FDPA).

3. Handling of contact data

If you contact the website operator using the contact options offered, your details will be stored so that they can be used to process and respond to your enquiry. If necessary, we

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will forward your enquiry to the appropriate company of the Cordis group according to your request. If you have a contractual relationship with us or are interested in our services, the legal basis of the processing is Art. 6 (1) (b) GDPR. Otherwise, the legal basis of the processing is our legitimate interest in providing contact options within the scope of our business operations (Art. 6 (1) (f) GDPR).

4. Ordinary contact in the course of business (e.g., trade fair)

In the case of a spontaneous business contact exchange at, among other things, trade fairs, events, business lunches or other official activities, e.g. by exchanging business cards, we process your contact data (name, address, e-mail or telephone number), data on your company (address, business area, job description, title), data on your request (content, time of request, means of communication) in order to contact you and process your request. The legal basis is Art. 6 (1) (f) GDPR and Art. 6 (1) (b) GDPR, Art. 31 (1) rev FDPA.

5. E-mail Marketing

If you have given us your consent, we will process the e-mail address you have provided in order to contact you for marketing purposes and to send you further information about our products and our company. Legal basis is Art. 6 (1) (a) GDPR, respectively Art. 31 (1) rev FDPA. You can object to e-mail marketing at any time and revoke your consent at any time with effect for the future. You will find an option to declare your objection or revocation in every e-mail. You can also declare the objection and revocation via the contact data given in Section 1 (see also Section 15 – Your Rights).

6. Conclusion and Execution of Contracts

In order to conclude or execute contracts with you (e.g., Sponsorship Agreement, Consultancy Agreement or Educational Grant Form with Healthcare Professionals), we process personal data relating to you, especially your contact and financial data such as your name, title, address, bank account or credit card number.

The legal basis for the processing of your personal data is Art. 6 (1) (b) GDPR, respectively Art. 31 (2) (a) rev. FDPA. The purpose of the processing is the establishment and implementation of the contractual relationship with you. The provision of your personal data is necessary for this purpose. You are not obliged to provide your personal data, but if you do not provide them, the establishment and implementation of the contractual relationship is not possible. Otherwise, there will be no consequences for you. If you are an employee of an interested party, customer, supplier, service provider or other business partner, the legal basis is our legitimate interest in initiating or carrying out the business relationship with your employer.

7. Conducting Surveys

We conduct surveys, e.g., to improve our products and services. Participation in surveys is voluntary. Surveys can be conducted anonymously. If this is not the case, we will inform you before you take part in the survey. In this case, we collect in particular your contact data

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(name, address, e-mail or telephone number), data on your company (address, business area, job description, title) and your answers.

We may use Microsoft Forms to conduct our surveys. To do this, you either receive a link to the survey or we have embedded Microsoft Forms in a website. Microsoft Forms is an online service provided by Microsoft Corporation ("Microsoft") as part of Microsoft 365. Data processing also involves data transfers to Microsoft and personal data are stored on Microsoft's cloud servers in a third country, namely the USA. Please refer to section 13 for more information. For the processing, we have concluded the necessary contracts with Microsoft, in particular a data processing agreement.

More information about Microsoft Forms can be found here: <https://support.office.com/en-us/forms>. Further information on the processing of personal data by Microsoft can be found at <https://support.microsoft.com/en-us/office/security-and-privacy-in-microsoft-forms-7e57f9ba-4aeb-4b1b-9e21-b75318532cd9>.

The legal basis for processing your personal data if any is your consent in accordance with Art. 6 (1) (a) GDPR, respectively Art. 31 (1) rev. FDPA. Before the survey starts, we ask for your consent. You can revoke this at any time with effect for the future, e.g. by using the contact details of section 1.

8. Links to social networks and embedded Vimeo videos

Our website contains links to social networks (LinkedIn and Twitter) and embedded videos of the video hosting platform Vimeo. These services are operated exclusively by third-party providers. When you visit our site, no personal data is transmitted. Only if you follow the links or play videos, information may be transmitted to these providers.

The purpose and scope of the data collection and the further processing and use of the data by the provider as well as your rights in this respect and setting options for the protection of your privacy can be found in the data protection information of the respective provider. Further information on data protection can be found here:

LinkedIn Corporation, 2029 Stierlin Court, Mountain View, California 94043, USA
<http://www.linkedin.com/legal/privacy-policy/>

Twitter, Inc., 1355 Market St, Suite 900, San Francisco, California 94103, USA
<https://twitter.com/privacy/>

Vimeo, Inc., 555 West 18th Street, New York, New York 10011, USA
<https://vimeo.com/privacy>

9. Links to third party websites

Our website includes links to third-party websites. When you call up the website links, you leave our website and the browser of your device establishes a direct connection with the servers of the respective website. The respective privacy policies of this website apply.

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10. Joint Control with LinkedIn

We are joint controllers with LinkedIn Ireland Unlimited Company Wilton Place, Dublin 2, Ireland ("LinkedIn"), for the collection (but not further processing) of data from visitors to our LinkedIn page (<https://www.linkedin.com/company/cordis/about/>). In connection with the operation of our LinkedIn profile, we use LinkedIn Page Analytics. This provides us with information about the use of our content. You can find more information on the data protection of the LinkedIn platform in the LinkedIn privacy policy available at: <https://www.linkedin.com/legal/privacy-policy?>.

We have entered into a special agreement with LinkedIn ("Page Insights Joint Controller Addendum" <https://legal.linkedin.com/pages-joint-controller-addendum>), which regulates in particular which security measures LinkedIn must observe and in which LinkedIn has agreed to fulfil data subject rights.

The rights of users (in particular to information, deletion, objection and complaint to the competent supervisory authority), are not restricted by the agreements with LinkedIn. You can assert your rights (information, correction, deletion, restriction of processing, data portability, objection and complaint) both against us and against LinkedIn.

Purposes of processing: contact requests and communication, tracking (e.g. interest/behavioural to tailor the content to the interests), remarketing, reach measurement (e.g. access statistics, recognition of returning visitors).

Legal basis: The legal basis for data processing is our legitimate interest in ensuring that our offer and our company are present on the Internet as comprehensively as possible, as well as the possibility of communicating with you via social networks (Art. 6 (1) (f) GDPR).

Types of data processed: inventory data (e.g., names, addresses), contact data (e.g., e-mail, telephone numbers), content data (e.g. entries in online forms), usage data (e.g. websites visited, interest in content, access times), meta/communication data (e.g. device information, IP addresses).

Data subjects: Users (e.g., website visitors, users of online services).

11. Investigator Initiated Studies (IIS)

If you propose a study to us and complete and send us the Investigator-Initiated Study (IIS) Proposal Form and the Budget Template, we process contact data (name, address, e-mail or telephone number), data on your company (address, business area, job description, title) and data on your proposal (study design, study timeline, study synopsis, support request etc.) in order to consider if an Investigator Initiated Study can be supported. The legal basis is Art. 6 (1) (b) and (f) GDPR, respectively Art. 31 (1) and (2) (a) FDPA.

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Furthermore, we will not process any personal data of patients, participating in studies. The patients' data are pseudonymized before transmission and the additional information to re-identify the patients remains with the Investigator and the Institution.

12. Ethic Hotline

We provide an Ethic hotline under "Ethics & Integration". You find a respective Link to the Ethic hotline under <https://cordis.com/emea/about/ethics-integrity>. You are free to call us or fill out a report by selecting "Make a Report" to inform us about an incident such as Bribery & Kickbacks, Harassment, Fraud or similar. The incident can be reported anonymously. However, you are also welcome to give us your identity by providing your first and last name, phone number and e-mail address. We only process your data in order to investigate and process the reported incident and to contact you if necessary.

We use a platform of the provider NAVEX Global, Inc. ("NAVEX") to provide you with our Ethic hotline. Further information on data protection at NAVEX are available at <https://www.navex.com/en-us/privacy-statement/>.

13. Data Transfer

We will transfer personal data to third parties where necessary for the provision of our service or otherwise allowed or required by the law. Within the scope of the purposes stated here, personal data are transferred to service providers involved in the provision of our services. In addition to their legal obligation to comply with all data protection regulations, these service providers are bound to additional contractual data protection requirements. This includes in particular contractual obligations as a processor in accordance with Art. 28 GDPR.

In other cases, we transfer personal data to other recipients only if there is a legal justification, or you have expressed your consent. We will only disclose your data to government authorities within the framework of statutory obligations or as a result of an official order or court decision and only insofar as this is permitted under data protection law.

If necessary, for our purposes, we may also transfer your data to data recipients and service providers in third countries including countries outside the EEA. In such case we ensure having in place measures to ensure an adequate level of data protection within the meaning of Art. 44 et. seq. GDPR, respectively Art. 16 rev. FDPA.

Data processing described in particular under sections 6 and 7 above might include data transfers to third countries, in particular the USA. We would like to emphasize that the USA is not a safe third country in terms of EU data protection law. In certain cases, U.S. companies are obliged to disclose personal data to US authorities without you as the data subject being able to take legal action against this. Therefore, it cannot be excluded that US authorities (e.g. secret services) may process, evaluate and permanently store your data on US servers for monitoring purposes. We have no influence on these processing activities.

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However, the providers we engage are contractually bound to data protection compliant processing with the EU standard contractual clauses.

14. Deletion

We delete your personal data as soon as it is no longer necessary for the aforementioned purposes of processing. We also delete your personal data if you object to a certain processing of data that is based on legitimate interests, unless there are compelling reasons to continue processing. We also delete your data if you revoke your consent to the processing and if there is no other legal basis for processing. In certain cases, e.g., if there is a statutory retention period, your data will initially be blocked and deleted upon expiry of the retention period.

15. Your Rights

As a data subject of the data processing, you have the right to **confirmation** as to whether personal data are processed by Cordis and the right to **access** this personal data (Art. 15 GDPR, Art. 25 rev. FDPA), a right to **rectification** of your incorrect data (Art. 16 GDPR, Art. 32 rev. FDPA), a right to **erasure** (Art. 17 GDPR, Art. 32 rev. FDPA) and a right to **restrict** (block) your data (Art. 18 GDPR).

In addition, in the event of processing based on Art. 6(1)(e) or (f) of the GDPR or processing for direct marketing purposes, you may object to the processing (Art. 21 of the GDPR), in which case, except in the case of direct marketing, you must provide a specific ground.

If you have provided the data, you can request the **transmission** of the data (Art. 20 GDPR, Art. 28 rev. FDPA). Whether and to what extent these rights are effective in individual cases and under what conditions they apply is stipulated by law. If the processing is based on a consent within the meaning of Art. 6(1) (a) or Art. 9(2)(a) GDPR respectively Art. 31 (1) FDPA, you can **revoke** consent at any time with effect for the future (Art. 7(3)(1) GDPR). You also have the right to contact the competent data protection supervisory authority (Art. 77 GDPR). If you have any questions or complaints about data protection at Cordis, we recommend that you first contact our data protection officer (see contact details above).

16. No automated individual Decision-Making

We do not use your personal data for automated individual decisions in the meaning of Art. 22(1) GDPR.

17. Amendment of the Privacy Statement

New legal requirements, business decisions or technical developments may require changes to our Privacy Statement. The Privacy Statement will then be adapted accordingly. You can always find the latest version on our website.